

# **BUILDING SYSTEMS ANALYSIS AND RETROFIT MANUAL**



**SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.**  
**[www.smacna.org](http://www.smacna.org)**

This is a preview. [Click here to purchase the full publication.](#)



# **BUILDING SYSTEMS ANALYSIS AND RETROFIT MANUAL**

SECOND EDITION – MARCH, 2011



**SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.**

4201 Lafayette Center Drive  
Chantilly, VA 20151-1219  
[www.smacna.org](http://www.smacna.org)

This is a preview. [Click here to purchase the full publication.](#)

# **BUILDING SYSTEMS ANALYSIS AND RETROFIT MANUAL**

COPYRIGHT © SMACNA 2011  
All Rights Reserved  
by

**SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.**

4201 Lafayette Center Drive  
Chantilly, VA 20151-1219

Printed in the U.S.A.

FIRST EDITION – OCTOBER 1995  
SECOND EDITION – MARCH 2011

Except as allowed in the Notice to Users and in certain licensing contracts, no part of this book may be reproduced, stored in a retrievable system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of the publisher.

[This is a preview. Click here to purchase the full publication.](#)

## FOREWORD

This second edition updating and revision of the Retrofit manual comes at a time when green building guidelines, standards and codes are either recently finished or nearing completion. These guidelines, standards and codes are markers on the road to a sea change toward new approaches in new building construction. While these new green guidelines, standards and codes also apply to major renovation of existing buildings the focus is on new construction and little has been published to address the much larger issue of energy efficiency in existing buildings.

Historically, new buildings have added less than two percent to the total inventory of commercial buildings in the United States on an annual basis. For meaningful energy use reductions to occur on a national scale, the energy efficiency of thousands of existing buildings must be improved significantly. For the United States to make major progress in reducing its national energy consumption, most, if not all of the existing buildings must use far less energy.

Characteristics of all the green codes and standards are that public transportation be accessible nearby and that new land—greenfields—development is discouraged. Existing buildings are often located near public transportation and development typically occurs on a building's existing footprint. These two “greening” drivers may combine with basic economic factors to produce a compelling incentive for many prospective building owners to choose renovation of an existing building over the construction of new facilities.

The other factors that will help drive the retrofit and upgrade of existing buildings is the rising cost of energy and fuel. As other countries compete more fervently for the world's available fuels, higher prices are inevitable. Payback periods and return on investment intervals will shrink sufficiently to more easily justify capital outlays on the most energy efficient buildings; resulting in modifications to building envelopes, HVAC, plumbing and electrical systems.

The members of the *Building Systems Analysis and Retrofit Manual* task force have shared their expertise in the belief that other contractors will consider and enter the promising field of retrofitting and upgrading existing buildings. Readers of this manual are encouraged to submit additional thoughts and ideas to SMACNA's Technical Resources staff to further add to the building science and art presented in this manual.

SHEET METAL AND AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION, INC.



# BUILDING SYSTEMS ANALYSIS AND RETROFIT TASK FORCE

Christopher A. Fulton  
Bright Sheet Metal Co., Inc.  
Indianapolis, IN

Dave Hill  
France Mechanical Corporation  
Edwardsville, IL

Gene Darby  
Environmental Mechanical Contractors, Inc.  
Olathe, KS

Russ Kimball  
Evergreen State Heat & AC  
Everett, WA

Peyton Collie, *Staff*  
SMACNA  
Chantilly, VA



# NOTICE TO USERS OF THIS PUBLICATION

## 1. DISCLAIMER OF WARRANTIES

- a) The Sheet Metal and Air Conditioning Contractors' National Association ("SMACNA") provides its product for informational purposes.
- b) The product contains "Data" which is believed by SMACNA to be accurate and correct but the data, including all information, ideas and expressions therein, is provided strictly "AS IS," with all faults. SMACNA makes no warranty either express or implied regarding the Data and SMACNA EXPRESSLY DISCLAIMS ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR PARTICULAR PURPOSE.
- c) By using the data contained in the product user accepts the Data "AS IS" and assumes all risk of loss, harm or injury that may result from its use. User acknowledges that the Data is complex, subject to faults and requires verification by competent professionals, and that modification of parts of the Data by user may impact the results or other parts of the Data.
- d) IN NO EVENT SHALL SMACNA BE LIABLE TO USER, OR ANY OTHER PERSON, FOR ANY INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES ARISING, DIRECTLY OR INDIRECTLY, OUT OF OR RELATED TO USER'S USE OF SMACNA'S PRODUCT OR MODIFICATION OF DATA THEREIN. This limitation of liability applies even if SMACNA has been advised of the possibility of such damages. IN NO EVENT SHALL SMACNA'S LIABILITY EXCEED THE AMOUNT PAID BY USER FOR ACCESS TO SMACNA'S PRODUCT OR \$1,000.00, WHICHEVER IS GREATER, REGARDLESS OF LEGAL THEORY.
- e) User by its use of SMACNA's product acknowledges and accepts the foregoing limitation of liability and disclaimer of warranty and agrees to indemnify and hold harmless SMACNA from and against all injuries, claims, loss or damage arising, directly or indirectly, out of user's access to or use of SMACNA's product or the Data contained therein.

## 2. ACCEPTANCE

This document or publication is prepared for voluntary acceptance and use within the limitations of application defined herein, and otherwise as those adopting it or applying it deem appropriate. It is not a safety standard. Its application for a specific project is contingent on a designer or other authority defining a specific use. SMACNA has no power or authority to police or enforce compliance with the contents of this document or publication and it has no role in any representations by other parties that specific components are, in fact, in compliance with it.

## 3. AMENDMENTS

The Association may, from time to time, issue formal interpretations or interim amendments, which can be of significance between successive editions.

## 4. PROPRIETARY PRODUCTS

SMACNA encourages technological development in the interest of improving the industry for the public benefit. SMACNA does not, however, endorse individual manufacturers or products.

## 5. FORMAL INTERPRETATION

- a) A formal interpretation of the literal text herein or the intent of the technical committee or task force associated with the document or publication is obtainable only on the basis of written petition, addressed to the Technical Resources Department and sent to the Association's national office in Chantilly, Virginia. In the event that the petitioner has a substantive disagreement with the interpretation, an appeal may be filed with the Technical Resources Committee, which has technical oversight responsibility. The request must pertain to a specifically identified portion of the document that does not involve published text which provides the requested information. In considering such requests, the Association will not review or judge products or components as being in compliance with the document or publication. Oral and written interpretations otherwise obtained from anyone affiliated with the Association are unofficial. This procedure does not prevent any committee or task force chairman, member of the committee or task force, or staff liaison from expressing an opinion on a provision within the document, provided that such person clearly states that the opinion is personal and does not represent an official act of the Association in any way, and it should not be relied on as such. The Board of Directors of SMACNA shall have final authority for interpretation of this standard with such rules or procedures as they may adopt for processing same.
- b) SMACNA disclaims any liability for any personal injury, property damage, or other damage of any nature whatsoever, whether special, indirect, consequential or compensatory, direct or indirectly resulting from the publication, use of, or reliance upon this document. SMACNA makes no guaranty or warranty as to the accuracy or completeness of any information published herein.

## 6. APPLICATION

- a) Any standards contained in this publication were developed using reliable engineering principles and research plus consultation with, and information obtained from, manufacturers, users, testing laboratories, and others having specialized experience. They are



subject to revision as further experience and investigation may show is necessary or desirable. Construction and products which comply with these Standards will not necessarily be acceptable if, when examined and tested, they are found to have other features which impair the result contemplated by these requirements. The Sheet Metal and Air Conditioning Contractors' National Association and other contributors assume no responsibility and accept no liability for the application of the principles or techniques contained in this publication. Authorities considering adoption of any standards contained herein should review all federal, state, local, and contract regulations applicable to specific installations.

b) In issuing and making this document available, SMACNA is not undertaking to render professional or other services for or on behalf of any person or entity. SMACNA is not undertaking to perform any duty owed to any person or entity to someone else. Any person or organization using this document should rely on his, her or its own judgement or, as appropriate, seek the advice of a competent professional in determining the exercise of reasonable care in any given circumstance.

## **7. REPRINT PERMISSION**

Non-exclusive, royalty-free permission is granted to government and private sector specifying authorities to reproduce *only* any construction details found herein in their specifications and contract drawings prepared for receipt of bids on new construction and renovation work within the United States and its territories, provided that the material copied is unaltered in substance and that the reproducer assumes all liability for the specific application, including errors in reproduction.

## **8. THE SMACNA LOGO**

The SMACNA logo is registered as a membership identification mark. The Association prescribes acceptable use of the logo and expressly forbids the use of it to represent anything other than possession of membership. Possession of membership and use of the logo in no way constitutes or reflects SMACNA approval of any product, method, or component. Furthermore, compliance of any such item with standards published or recognized by SMACNA is not indicated by presence of the logo.



# TABLE OF CONTENTS



<b>FOREWORD</b>	<b>iii</b>
<b>BUILDING SYSTEMS ANALYSIS AND RETROFIT TASK FORCE</b>	<b>iv</b>
<b>NOTICE TO USERS OF THIS PUBLICATION</b>	<b>v</b>
<b>TABLE OF CONTENTS</b>	<b>vii</b>
<b>CHAPTER 1 INTRODUCTION</b>	<b>Page</b>
1.1 RETROFIT CONTRACTOR REQUIREMENTS	1.1
1.2 RETROFIT MARKET	1.1
1.3 ABOUT THIS MANUAL	1.2
<b>CHAPTER 2 COMMISSIONING</b>	
2.1 INTRODUCTION	2.1
2.2 PROCESS OVERVIEW	2.2
2.3 PRELIMINARY INVESTIGATION	2.3
2.4 SURVEY AND DOCUMENTATION PHASE	2.3
2.5 ANALYSIS	2.6
2.6 MODIFICATIONS	2.6
2.7 COMMISSIONING TESTS	2.6
2.8 DOCUMENTATION AND TRAINING	2.7
<b>CHAPTER 3 ELECTRICAL</b>	
3.1 INTRODUCTION	3.1
3.2 ELECTRICAL REVIEW	3.1
3.3 UTILITY CHARGES	3.3
3.4 EQUIPMENT	3.4
3.5 LIGHTING	3.5
3.6 PEAK DEMAND POWER MANAGEMENT	3.7
3.7 REFERENCES	3.9
<b>CHAPTER 4 BUILDING ENVELOPE</b>	
4.1 INTRODUCTION	4.1
4.2 BUILDING ENVELOPE – ROOF	4.1
4.3 BUILDING ENVELOPE – OUTSIDE DOORS	4.3
4.4 BUILDING ENVELOPE – WINDOWS AND SKYLIGHTS	4.3
4.5 BUILDING ENVELOPE – EXTERIOR WALLS	4.4
4.6 BUILDING ENVELOPE – SLAB	4.4
4.7 BUILDING ENVELOPE – INFILTRATION	4.4
4.8 BUILDING ENVELOPE – JOINTS	4.4
<b>CHAPTER 5 WATER USAGE AND EFFICIENCY</b>	
5.1 INTRODUCTION	5.1
5.2 DOMESTIC HOT WATER	5.1
5.3 FIXTURES	5.4
5.4 RAINWATER HARVESTING	5.5
5.5 REFERENCES	5.5

