

Edition 1.0 2016-04

INTERNATIONAL STANDARD

NORME INTERNATIONALE



BASIC SAFETY PUBLICATION

PUBLICATION FONDAMENTALE DE SÉCURITÉ

Electromagnetic compatibility (EMC) -

Part 1-2: General – Methodology for the achievement of functional safety of electrical and electronic systems including equipment with regard to electromagnetic phenomena

Compatibilité électromagnétique (CEM) -

Partie 1-2: Généralités – Méthodologie pour la réalisation de la sécurité fonctionnelle des systèmes électriques et électroniques, y compris les équipements, du point de vue des phénomènes électromagnétiques





THIS PUBLICATION IS COPYRIGHT PROTECTED Copyright © 2016 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office Tel.: +41 22 919 02 11 3, rue de Varembé Fax: +41 22 919 03 00

CH-1211 Geneva 20 info@iec.ch Switzerland www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

IEC Catalogue - webstore.iec.ch/catalogue

The stand-alone application for consulting the entire bibliographical information on IEC International Standards, Technical Specifications, Technical Reports and other documents. Available for PC, Mac OS, Android Tablets and iPad

IEC publications search - www.iec.ch/searchpub

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and also once a month by email.

Electropedia - www.electropedia.org

The world's leading online dictionary of electronic and electrical terms containing 20 000 terms and definitions in English and French, with equivalent terms in 15 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

IEC Glossary - std.iec.ch/glossary

65 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: csc@iec.ch.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Catalogue IEC - webstore.iec.ch/catalogue

Application autonome pour consulter tous les renseignements bibliographiques sur les Normes internationales, Spécifications techniques, Rapports techniques et autres documents de l'IEC. Disponible pour PC, Mac OS, tablettes Android et iPad.

Recherche de publications IEC - www.iec.ch/searchpub

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et aussi une fois par mois par email.

Electropedia - www.electropedia.org

Le premier dictionnaire en ligne de termes électroniques et électriques. Il contient 20 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans 15 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

Glossaire IEC - std.iec.ch/glossary

65 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: csc@iec.ch.

This is a preview. Click here to purchase the full publication.



Edition 1.0 2016-04

INTERNATIONAL STANDARD

NORME INTERNATIONALE



BASIC SAFETY PUBLICATION

PUBLICATION FONDAMENTALE DE SÉCURITÉ

Electromagnetic compatibility (EMC) -

Part 1-2: General – Methodology for the achievement of functional safety of electrical and electronic systems including equipment with regard to electromagnetic phenomena

Compatibilité électromagnétique (CEM) -

Partie 1-2: Généralités – Méthodologie pour la réalisation de la sécurité fonctionnelle des systèmes électriques et électroniques, y compris les équipements, du point de vue des phénomènes électromagnétiques

INTERNATIONAL ELECTROTECHNICAL COMMISSION

COMMISSION ELECTROTECHNIQUE INTERNATIONALE

ICS 33.100.99 ISBN 978-2-8322-3304-7

Warning! Make sure that you obtained this publication from an authorized distributor. Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.

Registered trademark of Marque déposée de la C

This is a preview. Click here to purchase the full publication.

CONTENTS

FC	REWO	RD	5	
IN	TRODU	CTION	7	
Pa	rticular	considerations for IEC 61000-1-2	7	
1	Scop	e	8	
2	Norm	ative references	9	
3		s, definitions and abbreviations		
	3.1	Terms and definitions		
	3.2	Abbreviations		
4	_	eral considerations		
	4.1	General		
	4.2	Considerations with regard to electromagnetic phenomena		
5	Achie	evement of functional safety		
	5.1	General		
	5.2	Safety lifecycle		
	5.3	Safety integrity	20	
	5.4	Specific steps for the achievement of functional safety with regard to electromagnetic disturbances	21	
	5.5	Management of EMC for functional safety		
	5.5.1	General		
	5.5.2	Management of functional safety performance with respect to electromagnetic phenomena at system level	21	
	5.5.3	Management of functional safety performance with respect to electromagnetic phenomena at element supplier level	22	
6	Electromagnetic environment			
	6.1	General	23	
	6.2	Electromagnetic environment information	24	
	6.3	Methodology to assess the electromagnetic environment	25	
	6.4	Deriving test levels and methods	25	
7	EMC	aspects of the design and integration process	26	
	7.1	General	26	
	7.2	EMC aspects on system level	27	
	7.3	EMC aspects on equipment level	28	
8		cation and validation of functional safety performance in respect of romagnetic disturbances	29	
	8.1	Verification and validation processes	29	
	8.2	Verification		
	8.3	Validation	31	
	8.4	Test philosophy for equipment intended for use in safety-related systems	32	
	8.4.1	General	32	
	8.4.2	, 11		
	8.4.3	• • • • • • • • • • • • • • • • • • • •		
	8.4.4	•		
	8.5	Test philosophy for safety-related systems		
9	EMC testing with regard to functional safety			
	9.1	Electromagnetic test types and electromagnetic test levels with regard to functional safety	34	

9.1.1	Considerations on testing	34
9.1.2	Types of immunity tests	34
9.1.3	Testing levels	34
9.2	Determination of test methods with regard to functional safety	35
9.3	Considerations on test methods and test performance with regard to	
	systematic capability	
9.3.1		
9.3.2	0 1	
9.3.3	Number of tests with different test set-ups or test samples	37
9.3.4	Variation of test settings	38
9.3.5	Environmental factors	38
9.4	Testing uncertainty	39
10 Docu	mentation	39
Annex A	(informative) Selection of electromagnetic phenomena	40
	(informative) Measures and techniques for the achievement of functional h regard to electromagnetic disturbances	43
B.1	General principles	43
B.2	Choosing design techniques and measures	
B.2.1		
B.2.2	•	
Annex C	(informative) Information concerning performance criteria and test methods	
	(informative) Considerations on the relationship between safety-related	
	lement, equipment and product, and their specifications	59
D.1	Relationships between the terms: Safety-related system, element,	
5.1	equipment and product	59
D.2	Relationship between electromagnetic mitigation and electromagnetic specifications	
D.2.1	E/E/PE system safety requirements specification	60
D.2.2	2 Equipment requirements specification	60
D.2.3	B Product specifications	60
D.2.4	Overview of the relationships between the SSRS, the various ERSs, and product specifications	60
	(informative) Considerations on electromagnetic phenomena and safety	
integrity I	evel	62
Annex F (informative) EMC safety planning	65
F.1	Basic structure	65
F.2	Requirements	
F.3	System/equipment data	
F.4	EMC matrix	
F.5	Analysis/assessment	66
F.6	Measures/provisions	
F.7	Validation/verification	
	phy	
3. ~		
Eiguro 1	Polationship hotwoon IEC 61000 1.2, and the simplified cofety lifewish as	
	- Relationship between IEC 61000-1-2 and the simplified safety lifecycle as 1508	17
•	- Basic approach to achieve functional safety only with regard to	
	gnetic phenomena	19
	- FMC between equipment M and equipment P	27

validation and verification for functional safety performance in respect of electromagnetic disturbances	30
Figure B 1 –General principles recommended for design to achieve electromagnetic resilience for a complete safety-related system (where the "rugged high-specification electromagnetic mitigation approach" is not used)	46
Figure C.1 – Allowed effects during immunity tests	57
Figure C.2 – Example of performance of tests after reaction of EUT	58
Figure D.1 – Relationships between the safety-related system, equipment and products	59
Figure D.2 – The process of achieving the electromagnetic specification in the SSRS, using commercially available products	61
Figure E.1 – Example of emission, immunity and compatibility levels	62
Figure F.1 – EMC safety planning for safety-related systems	65
Table 1 – E/E/PE system safety requirements specification, interfaces and responsibilities according to IEC 61508	16
Table 2 – Overview of electromagnetic phenomena	
Table 3 – Design, design management techniques and other measures	
Table 4 – Applicable performance criteria and observed behaviour during test of equipment intended for use in safety-related systems	
Table 5 – Examples for methods to increase level of confidence	37
Table A 1 – Example of selection of electromagnetic phenomena for functional safety in industrial environments	40
Table B.1 – Overview of lifecycle techniques and measure recommendations for the achievement of functional safety with regard to electromagnetic disturbances	44
Table B.2 – Overview of techniques and measures that may be used for the achievement of functional safety with regard to electromagnetic disturbances	47
Table B.3 – Additional system design techniques and measures that may provide evidence of the achievement of functional safety with regard to electromagnetic disturbances	50

INTERNATIONAL ELECTROTECHNICAL COMMISSION

ELECTROMAGNETIC COMPATIBILITY (EMC) -

Part 1-2: General – Methodology for the achievement of functional safety of electrical and electronic systems including equipment with regard to electromagnetic phenomena

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 61000-1-2 has been prepared by technical committee 77: Electromagnetic compatibility.

It has the status of a basic safety publication in accordance with IEC Guide 104.

This first edition cancels and replaces the second edition of IEC TS 61000-1-2 published in 2008. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

 Alignment with the changes done in the latest edition of the functional safety standard IEC 61508.

- Complete review with regard to transforming this document into an International Standard (instead of the previous edition as Technical Specification).
- New structure of Annex B.

The text of this standard is based on the following documents:

FDIS	Report on voting
77/513/FDIS	77/519/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 61000 series, published under the general title *Electromagnetic* compatibility (EMC), can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

IEC 61000 is published in separate parts according to the following structure:

Part 1: General

General considerations (introduction, fundamental principles)
Definitions, terminology

Part 2: Environment

Description of the environment Classification of the environment Compatibility levels

Part 3: Limits

Emission limits

Immunity limits (insofar as they do not fall under the responsibility of the product committees)

Part 4: Testing and measurement techniques

Measurement techniques

Testing techniques

Part 5: Installation and mitigation guidelines

Installation guidelines

Mitigation methods and devices

Part 6: Generic standards

Part 9: Miscellaneous

Each part is further subdivided into several parts, published either as international standards, technical specifications or technical reports, some of which have already been published as sections. Others will be published with the part number followed by a dash and completed by a second number identifying the subdivision (example: IEC 61000-3-11).

Particular considerations for IEC 61000-1-2

The aim of this international standard with regard to EMC and functional safety is to address the possible effects of electromagnetic disturbances on safety-related systems and to specify requirements for the relevant phases of the lifecycle of a safety-related system. The objective is to achieve the systematic capability as specified in the electrical/electronic/programmable electronic system safety requirements specification with regard-to electromagnetic aspects.

This document makes use of existing relevant basic IEC standards, as far as appropriate. It considers the work of SC 65A relating to functional safety concepts of the IEC 61508 series and of TC 77 and its subcommittees relating to the electromagnetic environments. More details can be found in the publications of these committees.

ELECTROMAGNETIC COMPATIBILITY (EMC) -

Part 1-2: General – Methodology for the achievement of functional safety of electrical and electronic systems including equipment with regard to electromagnetic phenomena

1 Scope

This part of IEC 61000 establishes a methodology for the achievement of functional safety only with regard to electromagnetic phenomena. This methodology includes the implication it has on equipment used in such systems and installations.

This standard:

- a) applies to safety-related systems and installations incorporating electrical/electronic/programmable electronic equipment as installed and used under operational conditions;
- b) considers the influence of the electromagnetic environment on safety-related systems;
- c) is not concerned with direct hazards from electromagnetic fields on living beings nor is it concerned with safety related to breakdown of insulation or other mechanisms by which persons can be exposed to electrical hazards.

It mainly covers EMC related aspects of the design and application specific phases of safety-related systems and equipment used therein, and deals in particular with

- some basic concepts in the area of functional safety,
- the various EMC specific steps for the achievement and management of functional safety,
- · the description and assessment of the electromagnetic environment,
- the EMC aspects of the design and integration process, taking into account the process of EMC safety planning on system as well as on equipment level,
- the validation and verification processes regarding the immunity against electromagnetic disturbances.
- the performance criterion and some test philosophy considerations for safety-related systems and the equipment used therein,
- aspects related to testing of the immunity of safety-related systems and equipment used therein against electromagnetic disturbances.

This International Standard is applicable to electrical/electronic/programmable electronic (E/E/PE) safety-related systems intended to comply with the requirements of IEC 61508 and/or associated sector-specific functional safety standards. It is intended for designers, manufacturers, installers and users of safety-related systems and can be used as a guide by IEC committees.

For safety-related systems covered by other functional safety standards, the requirements of this standard should be considered in order to identify the appropriate measures that should be taken with relation to EMC and functional safety.

NOTE This standard can also be used as a guide for considering EMC requirements for other systems having a direct contribution to safety.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050-161, International Electrotechnical Vocabulary (IEV) – Part 161: Electromagnetic compatibility

IEC TR 61000-1-6, Electromagnetic compatibility (EMC) – Part 1-6: General – Guide to the assessment of measurement uncertainty

IEC TR 61000-2-5, Electromagnetic compatibility (EMC) – Part 2-5: Environment – Description and classification of electromagnetic environments

IEC 61000-4-X (all parts), Electromagnetic compatibility (EMC) – Part 4: Testing and measurement techniques

IEC 61000-4-1, Electromagnetic compatibility (EMC) – Part 4-1: Testing and measurement techniques – Overview of IEC 61000-4 series

IEC 61000-6-7, Electromagnetic compatibility (EMC) — Part 6-7: Generic standards — Immunity requirements for equipment intended to perform functions in a safety-related system (functional safety) in industrial locations

IEC 61508 (all parts), Functional safety of electrical/electronic/programmable electronic safety-related systems

3 Terms, definitions and abbreviations

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60050-161 as well as the following apply.

3.1.1

degradation (of performance)

undesired departure in the operational performance of any device, equipment or system from its intended performance

Note 1 to entry: The term "degradation" can apply to temporary or permanent failure.

[SOURCE: IEC 60050-161:1990, 161-01-19]

3.1.2

electrical/electronic/programmable electronic

based on electrical and/or electronic and/or programmable electronic technology

Note 1 to entry: The term is intended to cover any and all devices or systems operating on electrical principles.

EXAMPLE Electrical/electronic/programmable electronic devices include

- electro-mechanical devices (electrical);
- solid-state non-programmable electronic devices (electronic);
- electronic devices based on computer technology (programmable electronic).